



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re the Application of

Inventors: Yves AUDEBERT, et al. Art Unit: 2131

Application No.: 10/085,127

Filed: March 1, 2002

For: METHOD AND SYSTEM FOR PERFORMING POST ISSUANCE  
CONFIGURATION AND DATA CHANGES TO A PERSONAL  
SECURITY DEVICE USING A COMMUNICATIONS PIPE

NOTICE OF CO-PENDING RELATED APPLICATIONS  
PURSUANT TO 37 CFR §1.56 MPEP 2001.06(b)

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

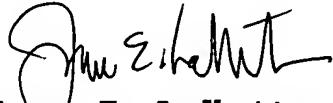
Pursuant to 37 CFR §1.56 and MPEP 2001.06(b), the applicants  
hereby call to the attention of the Patent and Trademark Office the  
following applications which may be deemed to be co-pending related  
applications to the present application:

- (1) 09/844,246
- (2) 09/844,439
- (3) 10/476,416
- (4) 10/476,316

It is requested that the prior art references from each  
application be reviewed by the examiner and made of record in each  
of the other applications. To assist the examiner, submitted  
herewith is an Information Disclosure Statement directed to making

the art currently of record in each of the above-listed cases also of record in the present application.

Respectfully submitted,



Date: January 13, 2005

James E. Ledbetter  
Registration No. 28,732

JEL/ejw

ATTORNEY DOCKET NO. L741.02102  
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<p>FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(Use several sheets if necessary)</p> <p><i>O I P E U C I T Y JAN 13 2005 F I C E</i></p>	ATTY. DOCKET NO.	SERIAL NO.
	<b>L741.02102</b>	<b>10/085,127</b>
	APPLICANT	<b>Yves AUDEBERT, et al.</b>
FILING DATE	GROUP	
<b>March 1, 2002</b>	<b>2131</b>	

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Naomaru ITOI, et al., "Secure Internet Smartcards," CITI Technical Report 00-6, Program in Standard Technology, <http://www.citi.umich.edu/projects/smartcard/>, Center for Information Technology Integration, University of Michigan, ppgs. 1-12, 24 Aug. 2000.

**EXAMINER** **DATE CONSIDERED**

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6	1	3	1	8	1	1	10/2000	Gangi	✓		
6	0	0	5	9	4	2	12/1999	Chan et al.	✓		

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	Global Platform, Open Platform, Terminal Specification, Version 1.5, November 1999. ✓
	Global Platform, Open Platform Terminal Framework, API Version 1.5.4, July 20, 2000. ✓
	Global Platform, Multi Application– Smart Card Management Systems, Global Platform Functional Requirements, Version 3.3, November 2000. ✓
	ISO/IEC 7816-4: 1995/Amd.1:1997(E) Information technology, Identification card, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange, Amendment 1.
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	ISO/IEC 7816-5: 1994(E) Identification cards, Integrated circuit(s) cards with contact, Part 5: Numbering system and registration procedure for application identifiers. ✓
	Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999. ✓
	Chen, Zhiqun, "How to write a Java Card applet: a Developer's Guide", Javaword, July 1999. ✓
	Chen, Zhiqun et al., "Understanding Java Card 2.0, " Javaworld, March 1998. ✓
	Posey, Brien, "Using Smart Cards with Windows 2000, " TechCrawler.com, September 28, 2000. ✓

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	APPLICANT Yves AUDEBERT, et al.	
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## U.S. PATENT DOCUMENTS

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- International Search Report dated March 9, 2002. ✓
- P. TROMMLER, et al.: "Smart cards and the OpenCard Framework," Java World, January, 1998, ✓  
XP002173639, pp. 1-12.

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.	A	US-6,105,008	08-2000	Davis et al. ✓	705/41
.	B	US-5,455,863	10-1995	Brown et al. ✓	380/247
.	C	US-			
.	D	US-			
.	E	US-			
.	F	US-			
.	G	US-			
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		6	0	0	5	9	4	2	12/1999	Chan et al.			
		5	9	9	1	4	0	7	11/1999	Murto	✓		

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T. EBRINGER, et al.; "Parasitic Authentication To Protect Your E-Wallet." Computer, IEEE Computer Society, Long Beach, CA, US, US, vol. 33, no. 10, October 1, 2000, XP001001747, ISBN: 0018-9162, pp. 54-60.

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B	US-2001/0039587 A1	11-2001	UHLER et al. ✓	709/229
C	US-5,499,297 A	03-1996	Boebert, William E. ✓	713/159
D	US-			
E	US-			
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G	US-			
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I	US-			
J	US-			
K	US-			
L	US-			
M	US-			

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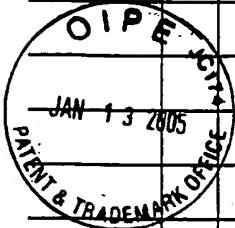
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## **International Search Report dated August 23, 2002.**

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C	US-6,481,632 B2	11-2002	Wentker et al. ✓	235/492
D	US-5,499,297 A	03-1996	Boebert, William E. ✓	713/159
E	US-5,276,735 A	01-1994	Boebert et al. ✓	713/167
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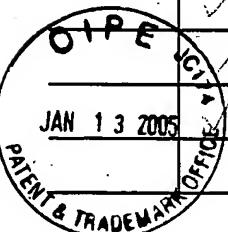
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		0	1	5	9	7	3	0	08/2001	WO				
		0	9	1	1	7	7	2	04/1999	EP				
	1	9	5	2	2	5	2	7	01/1997	DE				

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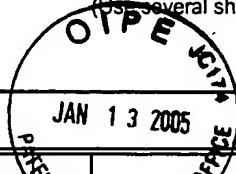
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		6	1	3	1	8	1	1	10/2000	Gangi			
		6	0	0	5	9	4	2	12/1999	Chan et al.			
		6	1	4	4	6	7	1	11/2000	Perinpanathan et al.			
		5	7	7	8	0	7	1	07/1998	Caputo et al.			
	2002	0	2	5	0	4	6	02/2002	Lin				
		5	9	9	1	4	0	7	11/1999	Murto			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
													YES	NO	
		9	9	6	2	2	1	0	12/1999	WO					
		0	1	2	2	3	7	3	03/2001	WO					
		0	1	1	6	9	0	0	03/2001	WO					

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	ISO/IEC 7816-4: 1995(E) Information technology, Identification cards, Integrated circuit(s) cards with contacts, Part 4: Interindustry commands for interchange.
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	Java Card 2.1 Application Programming Interface Sun Microsystems, Inc. Final Revision 1.1, June 7, 1999.
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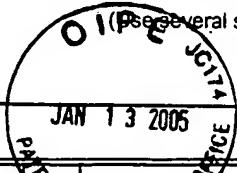
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	6	1	0	1	2	5	4					
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	6	0	0	5	9	4	2	12/1999	Chan et al.			
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	5	7	7	8	0	7	1	07/1998	Caputo et al.			
	2002	0	2	5	0	4	6	02/2002	Lin			
	6	2	7	9	0	4	7	08/2001	Bublitz et al.			

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		9	9	6	2	2	1	0					YES	NO
		9	1	2	2	3	7	3	03/2001	WO				
		0	1	1	6	9	0	0	03/2001	WO				
		9	8	5	2	1	6	1	11/1998	WO				

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